

Get compliant on a budget

Secura helps financial institutions and FinTech companies mitigate risk and enhance the customer experience with affordable support service packages



SECURA
RISK MANAGEMENT

Simplifying Risk.

800.515.8617

SecuraRiskManagement.com

BSA/AML/OFAC Compliance packages can save you a bundle.

Hiring an In-House Expert

vs

Retaining Secura

- Typically a 6-figure annual salary
- Medical / retirement benefits
- Paid time off (vacation/sick pay)
- Perpetual training/certification cost

- Retain for as little as \$23k annually
- No need to pay for benefits
- There when you need us
- Fully trained, certified experts

What's Included?

BSA/AML/OFAC Compliance Services	Compliance Essentials	Full Risk Management
BSA/AML/OFAC Policy, Procedures & Risk Assessment	✓	✓
Training (based on type of job family)	✓	✓
Changes to documentation (as needed)	✓	✓
Board reporting (BSA/AML/OFAC status update, reportable SARs, staffing assessment and AML system effectiveness)	✓	✓
Daily BSA/AML/OFAC Internal Escalations		✓
Assistance with documentation (high-risk reviews, EDD & SARs)		✓
Preparation for external audits/regulatory exams		✓
Up to 3 high-risk visits (travel costs not included)*		✓
One-year Secura membership (a \$3,495 value)		✓
Up to \$500MM in assets	\$1,950 / month*	\$4,600 / month*
> \$500MM up to \$1B in assets	\$3,300 / month*	\$5,500 / month*
> \$1B up to \$5B in assets	\$4,800 / month*	\$6,240 / month*
> \$5B up to \$10B in assets	\$5,500 / month*	\$7,300 / month*
> \$10B in assets	Call for quote	Call for quote

* Additional high-risk visits priced based upon size, complexity and location. Minimum 1-year retainer for full risk management package (limited number available).