

# 2019 Secura Risk Management Fall Management Forum Meeting

September 18 – 20, 2019

Ritz Carlton Lake Oconee, Greensboro, Georgia



## WEDNESDAY, SEPTEMBER 18, 2019

1:30 p.m. – 2:30 p.m.

**Upcoming Rules and Faster Payments Update:** This session will provide detail on the 2020 *NACHA Operating Rules* and a faster payments status on industry initiatives with real-time payments, same day ACH and faster payments. This session will provide an overview of how industry initiatives will (or will not) work in your current environment and include those changes that are required by network Rules. **Speaker: Terri Sands, CAMS-Audit, AAP, CFE, ACT Specialist.**

2:30 – 3:30 p.m.

**Best Business Practices for Treasury Approvals, Implementation and Onboarding and Over-Limit Approvals:** This session will review ACH and RDC best business practices for approving your customers, how to offer streamlined onboarding and over-limit approvals without having a bottleneck in your back-office and best business practices for performing periodic reviews based on risk ratings. **Speaker: Terri Sands, CAMS-Audit, AAP, CFE, ACT Specialist.**

3:30 - 3:45 - BREAK

3:45 – 4:45 p.m.

**Legal Developments in Electronic Banking.** This session reviews court cases and recent legal developments to identify problem areas in payments, including ACH, Wire Transfer, Checks, and Positive Pay. Intended for payments and risk professionals, this session will provide participants with an understanding of the legal and compliance risks in electronic banking services. **Speaker: John Popeo, Principal, The Gallatin Group LLC.**

4:45 – 5:00 p.m. - BREAK

5:00 – 6:00 p.m. - **FedNOW: The Future of the Fed's Real-Time Payments Network:** This session will focus on the Federal Reserve Bank's newly announced FedNOW product. Hear from Dick Fraher, former Counsel

to the Federal Reserve Bank System Retail Payments Office. This will be an open discussion to really dive into how smaller financial institutions will participate and important factors to consider when making their strategic decision **Speaker: Richard Fraher, Former Counsel to the Federal Reserve Bank System Retail Payments Office, Adjunct Professor, Payments Law, Emory University.**

6:00– 7:00 p.m. - RECEPTION  
7:00 p.m. - DINNER ON YOUR OWN

## THURSDAY, SEPTEMBER 19, 2019

9:00– 10:00 a.m.

**The Fraud Blind Spot of Internal Wire Transfer Requests: A Community Bank Case Study:** This session provides an overview of different types of wire transfer requests (e.g. phone, email, fax, cash management, in-person) and the risks associated with these requests with a focus on internal requested wires such as loan drawn down requests. What could go wrong? How would an internal wire request be fraudulent? This session will showcase a bank that had this type of fraud, what they learned, the changes they made and how you could learn from this type of vulnerability. **Speaker: Kim Walker, Electronic Banking Manager, Morris Bank.**

10:00 – 10:15 a.m. - BREAK

10:15 a.m. – 11:15 a.m.

**BSA Program Model Validation:** While model validation of compliance programs has been around for years, BSA model validation is somewhat of a new concept for small to mid-size financial institutions. This session will focus on regulatory requirements and expectations for model validation regardless of your financial institution size. The instructor will take attendees through each step of the model validation process to include conceptual soundness, data validation, data quality and integrity, model performance, and validation and sustainability. The instructor will also provide attendees with a sample outline of BSA model validation. **Speaker: Jesse Morton, Stout Consulting, CPA/CFF, CFE, CACTS, J.D., LL.M. Director of Dispute Consulting.**

11:15 a.m. – 12:15 p.m.

**Mitigating Employee Cyber Risks:** Employees continue to be the target of cyber criminals. This session will review tips and best practices to facilitate a culture of cybersecurity awareness. **Speaker: Zach Duke, Founder and CEO, Flnosec.**

12:15 p.m. - NETWORKING LUNCH SPONSORED BY

1:30 p.m. - NETWORKING WITH PEERS

## GROUP DINNER

### 6:30 p.m.

The Group Dinner is a great networking event and will be held offsite. Secura will provide transportation to and from the restaurant and the group dinner is part of your registration fee. Don't miss this networking event!

## FRIDAY, SEPTEMBER 20, 2019

### 8:30 – 9:30 a.m.

#### HOT BREAKFAST NETWORKING EVENT

### 9:30 a.m. – 11:00 a.m.

**Active Shooter and Preparedness Training:** Active shooter incidents are often unpredictable and evolve quickly. During the chaos, anyone can play an integral role in mitigating the impacts of an active shooter incident. This session will provide active shooter preparedness and focus on providing tools, and resources to help you prepare for and respond to an active shooter incident. **Speaker: Pete Stuart, CEO, Stuart Security Solutions.**

**11:00 a.m. - ADJOURN**

**CONTINUING EDUCATION CREDITS:** You may submit AAP and CAMS Continuing Education Credits for Forum Attendance.

**AAP Credits: 7.2 Credits**  
**CAMS Credits: 4 Credits**

## REGISTER TODAY!

You may register today by visiting the site:

<https://securariskmanagement.com/events/> and click on REGISTER.

## HOTEL INFORMATION

There is a hotel block being held at the Ritz Carlton Lake Oconee at a much-discounted rate of \$259/night. Don't wait to reserve your room. You may reserve your hotel room by clicking on the site [Secura Forum Hotel Registration](#). The hotel block sells out quickly so reserve your room today.

**DRESS CODE:** We want all our attendees and speakers to be comfortable, so we are all about casual dress. Feel free to wear jeans if you'd like.